

PROFESSIONAL LIABILITY/MALPRACTICE INSURANCE AND ADVERSE EVENTS/INCIDENTS

Liability coverage is provided for residents/fellows only while they are carrying out assigned duties as part of their residency/fellowship program for acts and omissions that are not wanton, reckless, or malicious. Coverage includes claims filed after completion of the program for activity that occurred during their program tenure. State law requires liability coverage to be provided by the hospital site where the resident/fellow is working, with state indemnity covering all other *approved* assignments.

The "[Professional Liability Letter](#)" is sent to hospitals, other Graduate Medical Education programs, and licensing agencies when they inquire about malpractice coverage for the residents/fellows in University of Connecticut School of Medicine sponsored programs. If claims history information is requested, Risk Managers from all affiliated hospitals (and for any approved assignments outside one of the affiliated hospitals or John Dempsey Hospital) are contacted to facilitate the process. If only general coverage information is requested, the "[Professional Liability Letter](#)" is to be used.

Residents/fellows concerned about an adverse patient occurrence or circumstance (hereinafter "adverse incident") should contact the Program Director and Risk Management Office staff as soon as possible at the site where the adverse incident occurred.

If a resident/fellow receives a subpoena or is contacted by a Risk Management Officer, an attorney, the Connecticut Department of Health, or another investigating body regarding an adverse incident, the resident/fellow should decline to discuss the matter until s/he can contact his/her Program Director and Risk Management Office staff at the site of the adverse incident. Such contact should be made as soon as possible following notification, particularly because prompt action may sometimes be required. A subpoena, for example, constitutes a legal document that could require either a resident/fellow's appearance to testify under oath and/or the timely production of certain documents. The Program Director and Risk Management Office at the site of the adverse incident will be familiar with the proper legal and other procedures for such situations and will employ the appropriate escalation procedures depending upon the specific circumstances.

The following procedures must be followed if you receive a subpoena or are contacted about an adverse incident:

1. Contact your Program Director and Risk Management Office at the site of the adverse incident.
2. The Program Director will inform the institution's Assistant Dean for Education of the investigation. If the site does not have an Assistant Dean for Education, the Medical Director and relevant Department Chair for the site will be contacted.
3. The Program Director will contact the UConn Graduate Medical Education Office designee.
4. The Program Director or designee and Risk Manager at the site will discuss with the resident/fellow the nature of the investigation and what is expected from the resident/fellow regarding the investigation.
5. The Program Director or designee and the Risk Manager will assist the resident/fellow in determining whether the resident/fellow may benefit from a separate advocate throughout the investigation.

“UConn Health and The UConn School of Medicine reserve the absolute discretion to provide independent legal counsel to any resident/fellow involved in an adverse incident wherein the provision of such independent counsel is deemed to be in the best interest of the physician and/or the State of CT/ UConn Health/UConn School of Medicine and Dentistry.”

Professional activities outside the program are not covered under UConn’s liability coverage and are the sole responsibility of the resident/fellow.

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